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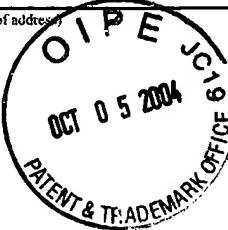
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001095 7590 09/01/2004

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Sara Carter	(Depositor's name)
	
(Signature)	
September 30, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/911,218	07/23/2001	Yongxing Qiu	CL/V-31563P1	4902

TITLE OF INVENTION: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND MODIFIED SUBSTRATES RESULTING THEREFROM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional	NO	\$1330	\$300	\$1630	12/01/2004
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EXAMINER	ART UNIT	CLASS-SUBCLASS
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MICHENER, JENNIFER KOLB	1762	427-002240
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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). <input checked="" type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. <input checked="" type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	1 <input type="checkbox"/> Jian Zhou 2 <input type="checkbox"/> Robert Gorman 3 <input type="checkbox"/> R. Scott Meece
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NOVARTIS AG

Basel, SWITZERLAND

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Jian Zhou

Date September 30, 2004

Typed or printed name Jian Zhou

Registration No. 41,422

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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CASE CL/V-31563P1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF
QIU ET AL.

APPLICATION NO: 09/911,218
FILED: July 23, 2001

FOR: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND
MODIFIED SUBSTRATES RESULTING THEREFROM

EXAMINER: JENNIFER KOLB MICHENER
ART UNIT 1762

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CORRESPONDENCE ADDRESS INDICATION FORM

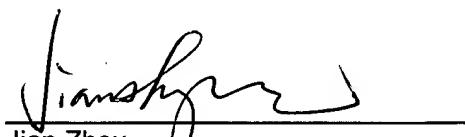
Sir:

In the above-identified application, please recognize the following address as the correspondence address:

Customer Number 31781

The undersigned agent is of record.

Respectfully submitted,



Jian Zhou
Agent for Applicant
Reg. No. 41,422
Phone No. (678) 415-4691

Date: September 30, 2004